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# Conflict Minerals Reporting Template (CMRT)

English

[Click here to check required fields completion](#)

Revision 4.10  
 April 29, 2016

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (\*).

## Company Information

<b>Company Name (*):</b>	Optoplex Corporation	
<b>Declaration Scope or Class (*):</b>	A. Company	
<b>Description of Scope:</b>		
<b>Company Unique ID:</b>		
<b>Company Unique ID Authority:</b>		
<b>Address:</b>		
<b>Contact Name (*):</b>	James Pang	
<b>Email - Contact (*):</b>	jamespang@optoplex.com	
<b>Phone - Contact (*):</b>	(510) 490-9930 x217	
<b>Authorizer (*):</b>	Vincent Chien	
<b>Title - Authorizer:</b>	VP of Manufacturing	
<b>Email - Authorizer (*):</b>	vincentchien@optoplex.com	
<b>Phone - Authorizer (*):</b>	(510) 490-9930 x235	
<b>Effective Date (*):</b>	18-Jul-2016	

Answer the following questions 1 - 7 based on the declaration scope indicated above

1) Is the 3TG intentionally added to your product? (\*)

	Answer	Comments
<b>Tantalum (*)</b>	No	
<b>Tin (*)</b>	No	
<b>Gold (*)</b>	Yes	
<b>Tungsten (*)</b>	No	

2) Is the 3TG necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts to manufacture? (\*)

	Answer	Comments
<b>Tantalum (*)</b>	No	
<b>Tin (*)</b>	No	
<b>Gold (*)</b>	Yes	
<b>Tungsten (*)</b>	No	



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Mandatory fields are noted with an asterisk (\*).

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (\*)

	Answer	Comments
Tantalum		
Tin		
Gold (*)	No	
Tungsten		

4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (\*)

	Answer	Comments
Tantalum		
Tin		
Gold (*)	No	
Tungsten		

5) Have you received data/information for each 3TG from all relevant suppliers? (\*)

	Answer	Comments
Tantalum		
Tin		
Gold (*)	Yes, 100%	
Tungsten		

6) Have you identified all of the smelters supplying the 3TG to your supply chain? (\*)

	Answer	Comments
Tantalum		
Tin		
Gold (*)	Yes	
Tungsten		



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# Conflict Minerals Reporting Template (CMRT)

English

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Revision 4.10  
April 29, 2016

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (\*).

7) Has all applicable smelter information received by your company been reported in this declaration? (\*)

	Answer	Comments
Tantalum		
Tin		
Gold (*)	Yes	
Tungsten		

## Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Do you have a policy in place that addresses conflict minerals sourcing? (*)	Yes	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	Yes	<a href="http://www.optoplex.com/download/CFSI_CMRT4-10_Optoplex.pdf">www.optoplex.com/download/CFSI_CMRT4-10_Optoplex.pdf</a>
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	
F. Do you collect conflict minerals due diligence information from your suppliers which is in conformance with the IPC-1755 Conflict Minerals Data Exchange standard [e.g., the CFSI Conflict Minerals Reporting Template]? (*)	Yes	
G. Do you request smelter names from your suppliers? (*)	Yes	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	Document Review only
I. Does your review process include corrective action management? (*)	No	





**Required Fields**

**Answer provided**

**Notes**

**Hyperlink to source**

Required Fields	Answer provided	Notes	Hyperlink to source
Company Name (*):	Optoplex Corporation	Complete	
Declaration Scope or Class (*):	A. Company	Complete	
Description of Scope:		Complete	
Contact Name (*):	James Pang	Complete	
Email - Contact (*):	jamespang@optoplex.com	Complete	
Phone - Contact (*):	(510) 490-9930 x217	Complete	
Authorizer (*):	Vincent Chien	Complete	
Email - Authorizer (*):	vincentchien@optoplex.com	Complete	
Phone - Authorizer (*):	(510) 490-9930 x235	Complete	
Effective Date (*):	18-Jul-2016	Complete	
1) Is the 3TG intentionally added to your product? (*)			
Tantalum (*)	No	Complete	
Tin (*)	No	Complete	
Gold (*)	Yes	Complete	
Tungsten (*)	No	Complete	
2) Is the 3TG necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts to manufacture? (*)			
Tantalum (*)	No	Complete	
Tin (*)	No	Complete	
Gold (*)	Yes	Complete	

Tungsten (*)	No	Complete	
3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	No	Complete	
Tungsten		Complete	
4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	No	Complete	
Tungsten		Complete	
5) Have you received data/information for each 3TG from all relevant suppliers? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes, 100%	Complete	
Tungsten		Complete	
6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes	Complete	
Tungsten		Complete	

7) Has all applicable smelter information received by your company been reported in this declaration? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes	Complete	
Tungsten		Complete	
Question			
A. Do you have a policy in place that addresses conflict minerals sourcing? (*)	Yes	Complete	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	Yes	Complete	
The URL in the comment field	www.optoplex.com/download/CFSI_CMRT4-10_Optoplex.pdf	Complete	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	Complete	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit	Yes	Complete	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	Complete	
F. Do you collect conflict minerals due diligence information from your suppliers which is in conformance with the IPC-1755 Conflict Minerals Data Exchange standard [e.g., the CFSI Conflict Minerals Reporting Template]? (*)	Yes	Complete	
G. Do you request smelter names from your suppliers? (*)	Yes	Complete	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	Complete	
I. Does your review process include corrective action management? (*)	No	Complete	
J. Are you subject to the SEC Conflict Minerals rule? (*)	No	Complete	
Product List	No products or item numbers listed	Complete	
Smelter List - Tantalum		Not Required	
Smelter List - Tin		Not Required	
Smelter List - Gold		Complete	

Smelter List - Tungsten		Not Required	
All rows with "Smelter not listed" selected, have a name and country listed		N/A	