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Conflict Minerals Reporting Template (CMRT)

<u>English</u>

Optoplex Corporation

A. Company

Click here to check required fields completion

Revision 4.10 April 29, 2016 Link to Terms & Conditions

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Company Name (*):

Description of Scope:

Mandatory fields are noted with an asterisk (*). Company Information

Declaration Scope or Class (*):

Burada Dil Tercihini Belirleyin:

Company Unique ID:		
Company Unique ID Authority:		
Address:		
Contact Name (*):	James Pang	
Email - Contact (*):	jamespang@optoplex.com	
Phone - Contact (*):	(510) 490-9930 x217	
Authorizer (*):	Vincent Chien	
Title - Authorizer:	VP of Manufacturing	
Email - Authorizer (*):	vincentchien@optoplex.com	
Phone - Authorizer (*):	(510) 490-9930 x235	
Effective Date (*):	18-Jul-2016	
Answer the following questions	s 1 - 7 based on the declaration scope ind	dicated above
1) Is the 3TG intentionally added to your product? (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	Yes	
Tungsten (*)	No	
Is the 3TG necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts to manufacture? (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	Yes	
Tungsten (*)	No	



term, see definitions tab) (*)

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Burada Dil Tercihini Belirleyin:
The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*).

Tantalum Tin

Conflict Minerals Reporting Template (CMRT)

Click here to check required fields completion

Comments

Link

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Revision 4.10

April 29, 2016

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC

English

Answer



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Conflict Minerals Reporting Template (CMRT)

<u>English</u>

Click here to check required fields completion

Revision 4.10 April 29, 2016 Link to Terms & Conditions

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*).

7) Has all applicable smelter information received by your company been reported in this declaration? (*)	Answer	Comments
Tantalum		
Tin		
Gold (*)	Yes	
Tungsten		
A	United Constitutions at a Community Land	
Question Answer the Fol	llowing Questions at a Company Level Answer	Comments
A. Do you have a policy in place that addresses conflict minerals sourcing? (*)	Yes	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user	Yes	www.optoplex.com/download/CFSI CMRT4-10 Optoplex.pdf
shall specify the URL in the comment field.) (*)		
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
E. Have you implemented due diligence measures for conflict-free sourcing? (*)	Yes	
F. Do you collect conflict minerals due diligence information from your suppliers which is in conformance with the IPC-1755 Conflict Minerals Data Exchange standard [e.g., the CFSI Conflict Minerals Reporting Template]? (*)	Yes	
G. Do you request smelter names from your suppliers? (*)	Yes	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	Document Review only
I. Does your review process include corrective action management? (*)	No	

	O BEGIN:								7
Op	ption A: If you kno	ow the Smelter Identification Number, input the number	in Column A (columns B, C, D, E, F, G, I, and J will auto-po	opulate).					
Op Ste	Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, D, E, F, G, I, and J will auto-populate). Option B: If you have a Metal and Smelter Reference List name combination, complete the following steps: Step 1. Select Metal in column B Step 2. Select from dropdown in column C (wrong combination will trigger RED color)								
Ste	ep 3. If dropdown	selection is "Smelter Not Listed" complete columns D & illable smelter information in columns H thru P	E			An initiative of the EICC and	GeSI		
Ma	andatory fields ar	re noted with an asterisk (*).							
NC be	OTE: A combination	on of Options A and B can be used to complete the Smelte by contacting info@conflictfreesmelter.org.	er List tab. Do not alter autopopulated cells. All errors in	n the Smelter Reference List should					
							Sourcing Initiative. All rights reserved	d.	
Smelter Identification Number Input Column	Metal (*)	Smelter Reference List (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province
Go	old	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	Shandong Zhaojin Gold & Silver Refinery Co., Ltd.	CHINA	CID001622	CFSI		Zhaoyuan	Shandong
-									

Complete

(510) 490-9930 x217

vincentchien@optoplex.com

(510) 490-9930 x235

Vincent Chien

18-Jul-2016

No

No

Yes

No

No

No

Yes

Phone - Contact (*):

Email - Authorizer (*):

Phone - Authorizer (*):

1) Is the 3TG intentionally added to your product? (*)

Is the 3TG necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts

Effective Date (*):

Tantalum (*)

Tin (*)

Gold (*)

Tin (*)

Gold (*)

Tungsten (*)

to manufacture? (*)
Tantalum (*)

Authorizer (*):

Tungsten (*)	No	Complete	
3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	No	Complete	
Tungsten		Complete	
4) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	No	Complete	
Tungsten		Complete	
5) Have you received data/information for each 3TG from all relevant suppliers? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes, 100%	Complete	
Tungsten		Complete	
6) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes	Complete	
Tungsten		Complete	

7) Has all applicable smelter information received by your company been reported in this declaration? (*)			
Tantalum		Complete	
Tin		Complete	
Gold (*)	Yes	Complete	
Tungsten		Complete	
Question			
A. Do you have a policy in place that addresses conflict minerals sourcing? (*)	Yes	Complete	
B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	Yes	Complete	
The URL in the comment field	www.optoplex.com/download/CFSI_CMRT4- 10_Optoplex.pdf	Complete	
C. Do you require your direct suppliers to be DRC conflict-free? (*)	Yes	Complete	
D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit	Yes	Complete	
conflict-free sourcing? (*)	Yes	Complete	
F. Do you collect conflict minerals due diligence information from your suppliers which is in conformance with the IPC-1755 Conflict Minerals Data Exchange standard [e.g., the CFSI Conflict Minerals Reporting Template]? (*)	Yes	Complete	
G. Do you request smelter names from your suppliers? (*)	Yes	Complete	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	Complete	
I. Does your review process include corrective action management? (*)	No	Complete	
J. Are you subject to the SEC Conflict Minerals rule? (*)	No	Complete	
Product List	No products or item numbers listed	Complete	
Smelter List - Tantalum		Not Required	
Smelter List - Tin		Not Required	
Smelter List - Gold		Complete	

Smelter List - Tungsten	Not Required	
All rows with "Smelter not listed" selected, have a name and country listed	N/A	